

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
Clayton A. DAVIS	)	Group Art Unit: 3693
	)	
Application No.: 10/785,415	)	Examiner: Vezeris, James A.
	)	
Filed: February 25, 2004	)	Confirmation No.: 8364
	)	
For: SYSTEMS AND METHODS FOR	)	
ISSUING SECURITIES ON TAX-	)	
EXEMPT BONDS BASED ON A	)	
SINGLE TRUST	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**RESPONSE TO RESTRICTION REQUIREMENT**

In a restriction requirement dated April 6, 2009, the Examiner required restriction under 35 U.S.C. § 121 between:

- (1) Group I, claims 1, 5, 10-21, and 23;
- (2) Group II, claims 2 and 6;
- (3) Group III, claims 3, 7, and 22;
- (4) Group IV, claims 4 and 8; and
- (5) Group V, claim 9.

Applicant elects, without traverse, to prosecute Group I, claims 1, 5, 10-21, and 23, characterized by the Examiner as being "drawn to a single trust with a senior class of securities which include a guarantee feature where the guarantee feature indicates a payment must be made on a guarantee claim and reimbursement sought after satisfying

the guarantee claims, a junior class of securities that serve as collateral, and the junior and senior classes are backed by the trust, classified in class 705, subclass 37." (Office Action at 2.)<sup>1</sup>


Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: May 4, 2009

By: \_\_\_\_\_

  
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<sup>1</sup> In listing or omitting the Examiner's characterization of each claim Group, Applicants do not necessarily adopt the Examiner's characterizations.